

DPW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alessia Pavan, et al.

Title: **NON-VOLATILE MEMORY CELL COMPRISING
DIELECTRIC LAYERS HAVING A LOW DIELECTRIC
CONSTANT AND CORRESPONDING MANUFACTURING
PROCESS**

Application No.: 10/749,130

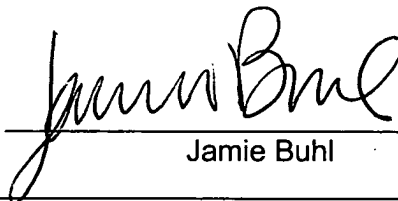
Filing Date: December 30, 2003

Group Art Unit: 2818

Attorney Docket No.: 2110-99-3

Certificate of Mailing

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8th day of July, 2004.



Jamie Buhl

REQUEST FOR CORRECTION OF FILING RECEIPT

July 8, 2004

TO THE COMMISSIONER FOR PATENTS:

An Updated Filing Receipt for the above-identified non-provisional patent application has been issued by the PTO. The Updated Filing Receipt contains an error. The Applicants' residences are incomplete. Applicants have enclosed a copy of the Declaration as filed indicating the correct addresses including "(LC)," "(VA)" and "(MI)" respectively. Please add "(LC)" after Merate in Alessia Pavan's

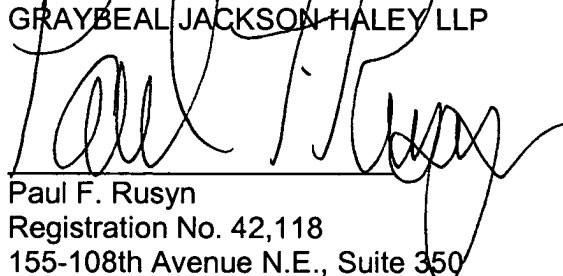
residence, and "(VA)" after Busto Arizio in Cesare Clemente's residence, and "(MI)" after Agrate Brianza in Livio Baldi's residence. This instant request provides the PTO with the correct data so that the records for this patent application may be appropriately updated.

- ☒ A copy of the PTO's Updated Filing Receipt is included with this request.
- ☒ A copy of the Declaration as filed on May 20, 2004.
- ☒ Correction is respectfully requested.
- ☒ A postcard is enclosed as acknowledgment of this document.

If the Patent and Trademark Office finds that any fees are required for this or any other reason, please charge any additional fees to Deposit Account No. 07-1897. Should the Patent and Trademark Office have any questions concerning this Request for Correction of Filing Receipt, a call to the undersigned is requested.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP


Paul F. Rusyn
Registration No. 42,118
155-108th Avenue N.E., Suite 350
Bellevue, WA 98004-5973
(425) 455-5575



UNITED STATES PATENT AND TRADEMARK OFFICE



BEST AVAILABLE COPY

Re 6102969

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/749,130	12/30/2003	2818	1338	2110-99-3	3	30	6

00996
GRAYBEAL, JACKSON, HALEY LLP
155 - 108TH AVENUE NE
SUITE 350
BELLEVUE, WA 98004-5901

CONFIRMATION NO. 3296

UPDATED FILING RECEIPT



OC000000012909220

Date Mailed: 06/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

(LC)
Alessia Pavan, Merate, ITALY; (VA)
Cesare Clementi, Busto Arsizio, ITALY;
Livio Baldi, Agrate Brianza, ITALY;
(MI)

RECEIVED
JUN 25 2004

GRAYBEAL JACKSON
HALEY LLP

Domestic Priority data as claimed by applicant

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02425805.5 12/30/2002

If Required, Foreign Filing License Granted: 04/29/2004

Projected Publication Date: 09/16/2004

Non-Publication Request: No

Early Publication Request: No

Title

Non-volatile memory cell comprising dielectric layers having a low dielectric constant and corresponding manufacturing process

6/28/04

Preliminary Class
365



BEST AVAILABLE COPY

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).